



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Seiji UMEMOTO, et al.

Appln. No. Not Yet Assigned

Group Art Unit: Not Yet Assigned

Confirmation No.: Not Yet Assigned

Examiner: Not Yet Assigned

Filed: June 26, 2001

For:

LIGHT PIPE, PLANE LIGHT SOURCE UNIT AND REFLECTION TYPE LIQUID-

CRYSTAL DISPLAY DEVICE

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §§ 1.97 and 1.98

Commissioner for Patents Washington, D.C. 20231

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached Form PTO-1449 and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents is submitted herewith.

The present Information Disclosure Statement is being filed: (1) No later than three months from the application's filing date for an application other than a continued prosecution application (CPA) under §1.53(d); (2) Before the mailing date of the first Office Action on the merits (whichever is later); or (3) Before the mailing date of the first Office Action after filing a request for continued examination (RCE) under §1.114, and therefore, no Statement under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

-/

INFORMATION DISCLOSURE STATEMENT

Attorney Docket No. Q65159

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for

foreign language documents, Applicant submits the following explanations:

Unexamined Japanese Patent Publication No. Hei. 12-111900 is disclosed on page 1

of the specification in the above-identified application. Additionally, an English Language

Abstract is submitted herewith.

Unexamined Japanese Patent Publication No. Hei. 11-281980 is disclosed on page 2

of the specification in the above-identified application. Additionally, an English Language

Abstract is submitted herewith.

The submission of the listed documents is not intended as an admission that any such

document constitutes prior art against the claims of the present application. Applicant does not

waive any right to take any action that would be appropriate to antedate or otherwise remove any

listed document as a competent reference against the claims of the present application.

Respectfully submitted,

SUGHRUE, MION, ZINN, MACPEAK & SEAS, PLLC

2100 Pennsylvania Avenue, N.W.

Washington, D.C. 20037-3213 Telephone: (202) 293-7060

Facsimile: (202) 293-7860

DM/plr

Date: June 26, 2001

Par A Michael Reg. Ws, 38, 551

Darryl Mexic
Registration No. 23,063

2

Form PTO-144 (Rev. 2-32)	U.S. Department of Commerce Patent & Trademark Office		Atty. Docket No. Q65159	İ	Serial No.: Confirmation No.: Not Yet Assigned		
INFORMATION DISCLOSURE STATEMENT			Applicant: Seiji UMEMOTO, et al.				
(Use several sheets if necessary)			Filing Date: June 26, 2001	Group: Not Ye	Group: Not Yet Assigned		
		U.S. PATI	ENT DOCUMENTS				
Examiner Initial	Document Number	Date	Name	Class	Sub- Class	Filing Date (if appropriate)	
	 						
		 		 		o M	
						25	
		 					
						33	
		 					
						, ==	
			ļ		ļ		
					 		
· · · · · · · · · · · · · · · · · · ·		-			-		
		+	†				
		FOREIGN PA	ATENT DOCUMENTS				
	Document	Date	Country	Class	Sub-	Translation	
·	11-281980	10/15/99	JP	G02F	1/1335	Yes/No	
	2000-111900	04/21/00	JP	G02F	1/1335		
	2000 112500						
			<u> </u>			····	
		+			 		
		 					
			g Author, Title, Date, P	ertinent Pages	s, Etc.)		
	Patent Abstract of Japan 11-281980, 10/15/99						
	Patent Abstract of Japan	Japan 2000-111900, 04/21/00					
	 						
EXAMINER:			DATE CONSIDER	ED:			
EXAMINER:	Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if						

not in conformance and not considered. Include copy of this form with next communication.